

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tso et al.	: Group Art Unit: 4124
Serial No.: 10/615,250	: Examiner: Brian Fertig
Filed: July 8, 2003	: Attorney Docket No.: 006878.114001
For: METHOD AND SYSTEM FOR PROVIDING PRINCIPAL PROTECTION EXPOSURE TO EQUITY MARKETS	: :

AMENDMENT IN RESPONSE TO OCTOBER 12, 2007 OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS:

This Amendment is filed in reply to the Office Action issued by the U.S. Patent and Trademark Office on October 12, 2007 in connection with the above-identified patent application. The October 12, 2007 Office Action provided a period of 3 months in which to file a response, i.e., by January 12, 2008. A Petition for a three-month extension of time is being filed herewith. Accordingly, a reply is now due by April 12, 2008 and this Amendment is being timely filed.